

Export LC Amendment User Guide

# **Oracle Banking Trade Finance Process Management**

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Oracle Banking Trade Finance Process Management - Export LC Advice User Guide  
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# Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTfPM) User Guide. This guide provides an overview on the OBTfPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTfPM:

- To create and handle trade finance transaction.

## Overview

OBTfPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTfPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

## Benefits

OBTfPM helps banks to manage trade finance operations across the globe in different currencies. OBTfPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

## Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

## Export LC Amendment

Export LC Amendment process enables the user to make an amendment to the LC which had been already advised.

The amendments may need consent from the beneficiary of the LC. In such scenarios, after the processing of amendment, the amended LC is parked awaiting beneficiary consent.

In the following sections, let's look at the details for Export LC amendment process.

This section contains the following topics:

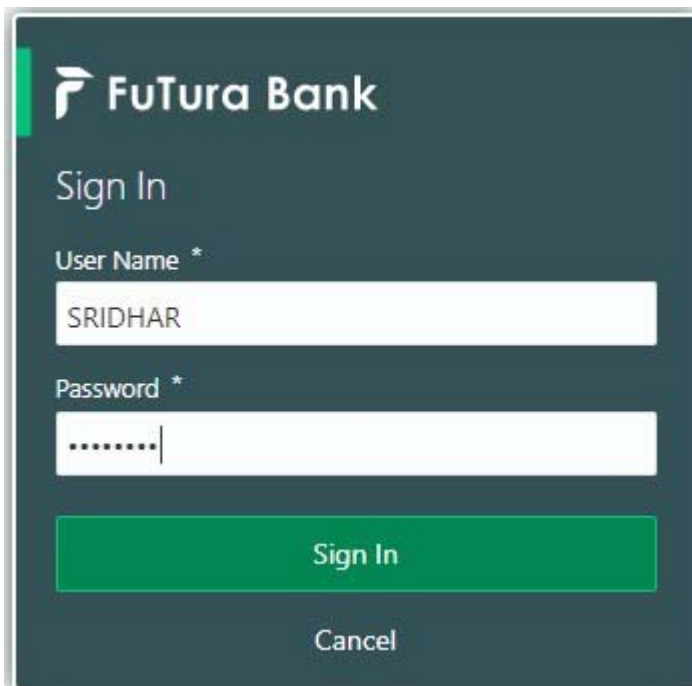
<a href="#">Registration</a>	<a href="#">Scrutiny</a>
<a href="#">Data Enrichment</a>	<a href="#">Exceptions</a>
<a href="#">Multi Level Approval</a>	<a href="#">Reject Approval</a>

### Registration

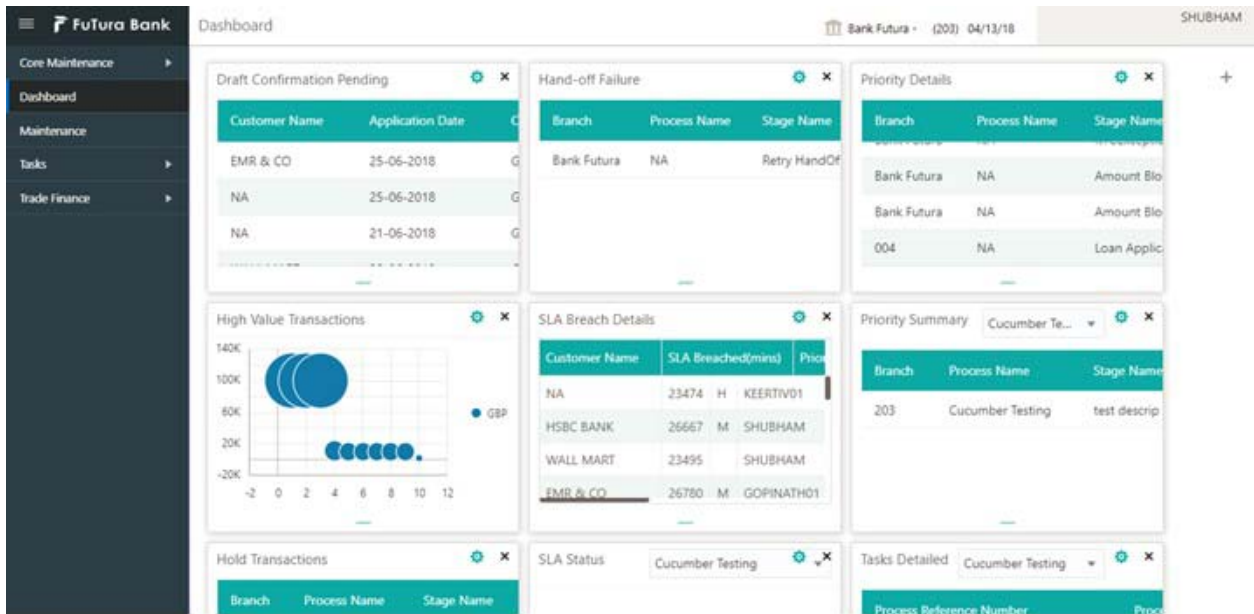
If the Letter Of Credit amendment request is submitted through branch, the Export LC amendment process starts from the Registration Stage.

During registration stage, user can capture the basic details of the application and upload the related documents of the applicant. On submit of the request, the request will be available for an LC Amendment expert to handle the request in the next stage.

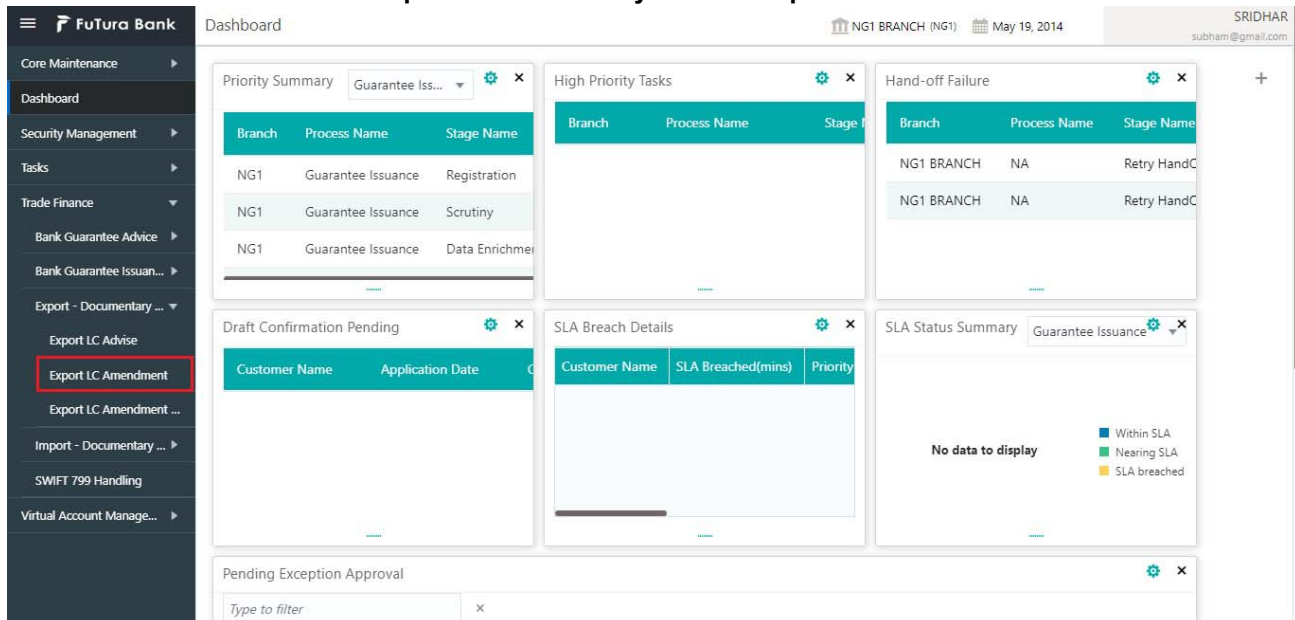
1. Using the entitled login credentials for registration stage, login to the OBTFPM application.

A screenshot of the FuTura Bank Sign In interface. The background is dark teal. At the top left is the FuTura Bank logo. Below it, the text 'Sign In' is displayed. There are two input fields: 'User Name \*' with the text 'SRIDHAR' and 'Password \*' with masked characters '.....'. Below the password field is a green 'Sign In' button. At the bottom center is a 'Cancel' link.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Export - Documentary Credit> Export LC Amendment.



The registration stage has two sections Application Details and LC Amendment Details. Let's look at the details of registration screens below:

## Application Details

Dashboard

NG1 BRANCH (NG1)
May 19, 2014
SRIDHAR  
subham@gmail.com

Export LC Amendment - Registration

Documents
Remarks

### Application Details

Advising Bank Reference \*  
NG1ELAC141398043

Beneficiary ID  
000128

Beneficiary Name  
EMR & CO

Branch  
NG1-NG1 BRANCH

Amendment No  
1

Process Reference Number  
NG1ELCA000003424

Priority  
Medium

Submission Mode  
Desk

Amendment Date  
May 19, 2014

Issuing Bank  
000145 HSBC BANK

Non Bank Issuer

Cancel LC

20 - Documentary Credit Number

Beneficiary Consent  
☒

View LC

### LC Amendmen Details

LC Type  
Sight

Product Code  
ELAC

Product Description  
Export LC Sight Non Revolving

Advising Bank

40A - Form Of Documentary Credit  
REVOCABLE

Contract Reference Number  
NG1ELAC141398043

31C - Date Of Issue  
May 19, 2014

40E - Applicable Rules  
UCPURR LATEST VERSION

31D - Date Of Expiry

31D - Place Of Expiry

51A - Applicant Bank

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Advising Bank Reference Number	Provide the advising bank reference number. Alternatively, user can search the advising bank reference number using LOV.  In the LOV, user can input Customer ID, Applicant, Currency, Amount and User Reference to fetch the Export LC details. Based on the search result, select the applicable Export LC to be amended.	
Beneficiary ID	Read only field.  Beneficiary ID will be auto-populated based on the selected Export LC from the LOV.	001344
Beneficiary Name	Read only field.  Beneficiary Name will be auto-populated based on the selected Export LC from the LOV.	EMR & CO
Branch	Read only field.  Branch details will be auto-populated based on the selected Export LC from the LOV.	203-Bank Futura -Branch FZ1
Amendment Number	Read only field.  Amendment number will be auto-populated based on selected Export LC. Amendment number increases by 1 for each amendment.	
Process Reference Number	Unique sequence number for the transaction.  This is auto generated by the system based on process name and branch code.	

Field	Description	Sample Values
Priority	System will default the Priority as Low/Medium/High based on maintenance.	High
Submission Mode	<p>Select the submission mode of Export LC Amendment request. By default the submission mode will have the value as 'Desk'. User can change the defaulted priority.</p> <p><b>Desk-</b> Request received through Desk</p> <p><b>Courier-</b> Request received through Courier</p>	Desk
Amendment Date	<p>By default, the application will display branch's current date and enables the user to change the date to any back date.</p> <div data-bbox="683 712 746 801" data-label="Image"> </div> <p><b>Note</b></p> <p>Future date selection is not allowed.</p>	04/13/2018
Issuing Bank	<p>Read only field.</p> <p>Issuing Bank details will be auto-populated based on the selected LC from the LOV.</p>	
Non Bank Issuer	<p>Read only field.</p> <p>Non Bank Issuer details will be auto-populated based on the selected Export LC from the LOV.</p>	
Cancel LC	Set the toggle as 'Yes', if Export LC amendment requires cancellation. Subsequent field will be read only and beneficiary consent is required for LC if toggle is set to Yes.	



## LC Amendment Details

Details in this screen displays the data from the LC issued.

Dashboard

NG1 BRANCH (NG1)
May 19, 2014
SRIDHAR  
subham@gmail.com

Export LC Amendment - Registration

Documents
Remarks

### Application Details

Advising Bank Reference  
NG1ELAC141398043

Beneficiary ID  
000128

Beneficiary Name  
EMR & CO

Branch  
NG1-NG1 BRANCH

Amendment No  
1

Process Reference Number  
NG1ELCA00003424

Priority  
Medium

Submission Mode  
Desk

Amendment Date  
May 19, 2014

Issuing Bank  
000145 HSBC BANK

Non Bank Issuer

Cancel LC

20 - Documentary Credit Number

Beneficiary Consent

View LC

### LC Amendmen Details

LC Type  
Sight

Product Code  
ELAC

Product Description  
Export LC Sight Non Revolving

Advising Bank

40A - Form Of Documentary Credit  
REVOCABLE

Contract Reference Number  
NG1ELAC141398043

31C - Date Of Issue  
May 19, 2014

40E - Applicable Rules  
UCPURR LATEST VERSION

31D - Date Of Expiry  
Aug 17, 2014

31D - Place Of Expiry  
LON

51A - Applicant Bank

50 - Applicant  
000132 NESTLE

Beneficiary Name  
000128 EMR & CO

32B - Currency Code, Amount  
GBP £200,000.00

39A - Percentage Credit Amount Tolerance  
0 / 0

39C - Additional Amounts Covered

Hold Cancel Save & Close Submit

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
LC Type	Read only field. LC type will be populated based selected Export LC.	
Product Code	Read only field. This field displays the product code of the selected Export LC.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Advising Bank	This field displays the advising bank details of the selected Export LC.	
40A - Form of Documentary Credit	Read only field. This field displays the form of documentary credit details of the selected Export LC.	
Documentary Credit Number	Read only field. This field displays the Documentary Credit Number of the selected LC.	

Field	Description	Sample Values
Date of Issue	Read only field. This field displays the LC issuance date.	
Applicable Rules	This field displays the rules of the selected Export LC.	
Date Of Expiry	This field displays the expiry date of the selected Export LC.	
Place of Expiry	This field displays the place of expiry of the selected Export LC.	
Applicant Bank	Read only field. This field displays the applicant bank details of the selected Export LC.	
Applicant	Read only field. This field displays the details of the applicant of the selected Export LC.	
Currency/Amount	This field displays the value of Export LC along with the currency details of the selected Export LC and user can amend if required.	
Percentage Credit Amount Tolerance	This field displays the percentage credit amount tolerance details of the selected Export LC and user can amend if required.	
Additional Amount Covered	This field displays the details of additional amount covered of the selected Export LC and user can amend if required.	

## Miscellaneous

Dashboard

NG1 BRANCH (NG1)
May 19, 2014
SRIDHAR  
subham@gmail.com

Export LC Amendment - Registration

Documents
Remarks

### Application Details

Advising Bank Reference  
NG1ELAC141398043

Beneficiary ID  
000128

Beneficiary Name  
EMR & CO

Branch  
NG1-NG1 BRANCH

Amendment No  
1

Process Reference Number  
NG1ELCA000003424

Priority  
Medium

Submission Mode  
Desk

Amendment Date  
May 19, 2014

Issuing Bank  
000145 HSBC BANK

Non Bank Issuer

Cancel LC

20 - Documentary Credit Number

Beneficiary Consent

View LC

### LC Amendmen Details

LC Type  
Sight

Product Code  
ELAC

Product Description  
Export LC Sight Non Revolving

Advising Bank

40A - Form Of Documentary Credit  
REVOCABLE

Contract Reference Number  
NG1ELAC141398043

31C - Date Of Issue  
May 19, 2014

40E - Applicable Rules  
UCPURR LATEST VERSION

31D - Date Of Expiry  
Aug 17, 2014

31D - Place Of Expiry  
LON

51A - Applicant Bank

50 - Applicant  
000132 NESTLE

Beneficiary Name  
000128 EMR & CO

32B - Currency Code, Amount  
GBP £200,000.00

39A - Percentage Credit Amount Tolerance  
0 / 0

39C - Additional Amounts Covered

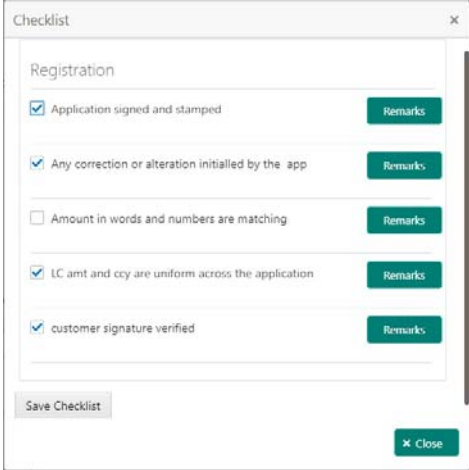
Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the amendment request. This information can be viewed by other users processing the request.	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	

### Action Buttons

Submit	On submit, task will get moved to next logical stage of Export LC Amendment.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and displays the task in you queue for working later.  This option will not submit the request.	
Cancel	Cancels the Export LC Amendment Registration stage inputs.	

Field	Description	Sample Values
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> 	

## Scrutiny

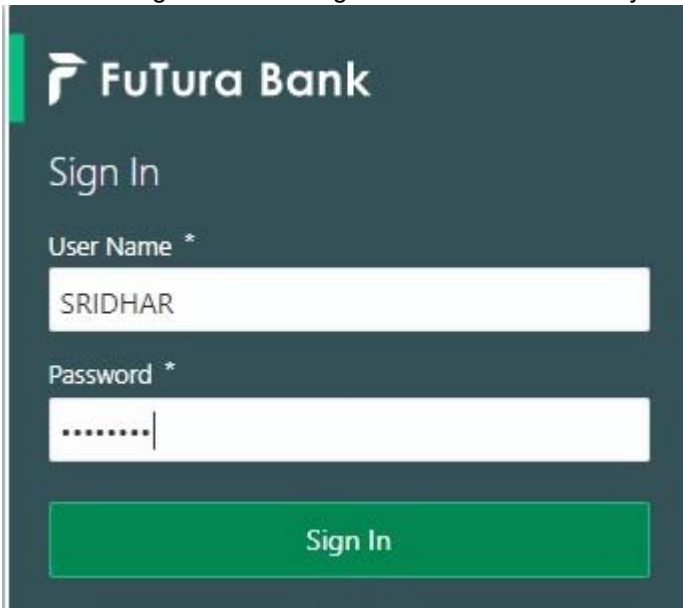
On successful completion of registration of an Export LC Amendment request, the request moves to scrutiny stage. At this stage the gathered information during registration are scrutinized.

**Non-Online Channel** - Export LC Amendment request that were received at the desk will move to scrutiny stage post successful registration. The requests will have the details entered during the registration stage.

**Online Channel** - Requests that are received via like SWIFT are available directly for further processing from Scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage would be auto populated.

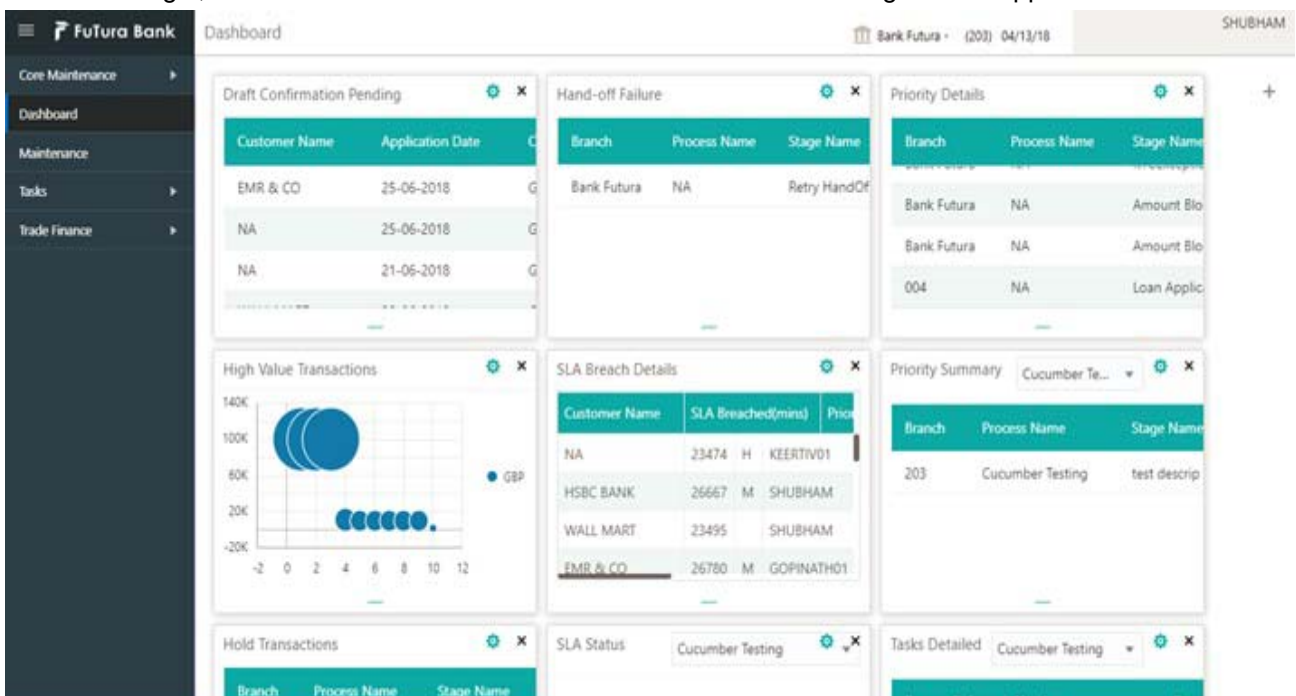
Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features a dark blue header with the bank's logo and name. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name \*' with the value 'SRIDHAR' and 'Password \*' with masked characters. A green 'Sign In' button is located at the bottom.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click **Trade Finance> Tasks> Free Tasks**.

**FuTura Bank** Free Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage
Acquire & Edit	M	GS1ELCAM0024175	GS1	000262	£20,000.00	Export LC Amendment	Scrutiny
Acquire & Edit	M	GS1ELCAD0024158	GS1	000262	£25,000.00	Export LC Issuance	Retry HandOff
Acquire & Edit	H	203GTEIS0024165	203	000344	£111.00	Guarantee Issuance	Data Enrichment
Acquire & Edit	M	GS1ILCDR0024151	GS1	000262	£1,000.00	Import LC Drawing	Scrutiny
Acquire & Edit	M	GS1ELCAD0024161	GS1	000262	£100.00	Export LC Issuance	Scrutiny
Acquire & Edit		GS1ILCDR0024146	GS1	000262	£11,000.00	Import LC Drawing	Registration

Page 1 of 1 (1-10 of 10 items) Previous 1 - 10 of 3264 records Next

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

**FuTura Bank** Free Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Refresh **Acquire** Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage
<b>Acquire &amp; Edit</b>	M	GS1ELCAM0024175	GS1	000262	£20,000.00	Export LC Amendment	Scrutiny
Acquire & Edit	M	GS1ELCAD0024158	GS1	000262	£25,000.00	Export LC Issuance	Retry HandOff
Acquire & Edit	H	203GTEIS0024165	203	000344	£111.00	Guarantee Issuance	Data Enrichment
Acquire & Edit	M	GS1ILCDR0024151	GS1	000262	£1,000.00	Import LC Drawing	Scrutiny
Acquire & Edit	M	GS1ELCAD0024161	GS1	000262	£100.00	Export LC Issuance	Scrutiny
Acquire & Edit		GS1ILCDR0024146	GS1	000262	£11,000.00	Import LC Drawing	Registration

Page 1 of 1 (1-10 of 10 items) Previous 1 - 10 of 3264 records Next

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

**FuTura Bank** My Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Refresh Release Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage
<b>Edit</b>	M	GS1ELCAM0024175	GS1	000262	£20,000.00	Export LC Amendment	Scrutiny
Edit		GS1ILCDR0024000	GS1	000262	£11,000.00	Import LC Drawing	Registration

Page 1 of 1 (1-2 of 2 items) Previous 1 - 2 of 2 records Next

The scrutiny stage has six sections as follows:

- Main Details

- Availability & Shipment
- Payment Details
- Amendment Details
- Additional Details
- Summary

Let's look at the details for scrutiny stage. User can enter/update the following sections. Some of the fields that are already having value from registration/online channels may not be editable.

## Main Details

Main details section has three sub section as follows:

- Application
- Application Details
- LC Amendment Details

## Application

This section provides a quick snapshot of details of LC. This Application section will be available in all the sections of Scrutiny stage and the fields will be read only. This section is collapsible.

**FuTura Bank** Free Tasks NG1 BRANCH (NG1) May 19, 2014 SRIDHAR subham@gmail.com

Export LC Amendment - Scrutiny Documents Remarks View LC Screen ( 1 / 6)

**Main Details**

Application :- NG1ELCA000003568

Priority	Branch	Applicant	Beneficiary
Medium	NG1 - NG1 BRANCH	000132 - NESTLE	000128 - EMR & CO
Amount	Issue Date	Expiry Date	Advising/Issuing Bank
£200,000.00	May 19, 2014	Aug 17, 2014	

**Application Details**

Advising Bank Reference *	Beneficiary ID	Beneficiary Name	Branch
NG1ELAC141398043	000128	EMR & CO	NG1-NG1 BRANCH
Amendment No	Process Reference Number	Priority	Submission Mode
1	NG1ELCA000003568	Medium	Desk
Amendment Date	Issuing Bank	Non Bank Issuer	Cancel LC
May 19, 2014	000145 HSBC BANK		<input type="radio"/>
20 - Documentary Credit Number	Beneficiary Consent		
	<input type="radio"/>		

Hold Cancel Save & Close Back Next

## Application Details

All fields displayed under Application details section, would be read only except for the **Priority** and **Cancel LC**. Refer to [Application Details](#) for more information of the fields.

FuTura Bank

Free Tasks

NG1 BRANCH (NG1)May 19, 2014SRIDHAR  
subham@gmail.com

Export LC Amendment - Scrutiny

DocumentsRemarksView LC

Main Details

Availability & Shipment

Payment Details

Amendment Details

Additional Details

Summary

Main Details

Application :- NG1ELCA000003568

Application Details

Advising Bank Reference \*  
NG1ELAC141398043

Beneficiary ID  
000128

Beneficiary Name  
EMR & CO

Branch  
NG1-NG1 BRANCH

Amendment No  
1

Process Reference Number  
NG1ELCA000003568

Priority  
Medium

Submission Mode  
Desk

Amendment Date  
May 19, 2014

Issuing Bank  
000145HSBC BANK

Non Bank Issuer

Cancel LC

20 - Documentary Credit Number

Beneficiary Consent

LC Amendmen Details

LC Type  
Sight

Product Code  
ELAC

Product Description  
Export LC Sight Non Revolving

Advising Bank

40A - Form Of Documentary Credit  
REVOCABLE

Contract Reference Number  
NG1ELAC141398043

31C - Date Of Issue  
May 19, 2014

40E - Applicable Rules  
UCPURR LATEST VERSION

31D - Date Of Expiry  
Aug 17, 2014

31D - Place Of Expiry  
LON

51A - Applicant Bank

50 - Applicant  
000132NESTLE

Beneficiary Name  
000128EMR & CO

32B - Currency Code, Amount  
GBP£200,000.00

39A - Percentage Credit Amount Tolerance  
0 / 0

22A - Purpose of Message

39C - Additional Amounts Covered

HoldCancelSave & CloseBackNext

16

ORACLE



## LC Amendment Details

The fields listed under this section are same as the fields listed under the [LC Amendment Details](#) section in [Registration](#). Refer to [LC Amendment Details](#) for more information of the fields. During registration, if user has not captured input, then user can capture the details in this section.

**FuTura Bank** Free Tasks NG1 BRANCH (NG1) May 19, 2014 SRIDHAR subham@gmail.com

Export LC Amendment - Scrutiny Documents Remarks View LC

Screen ( 1 / 6)

**Main Details**

Application :- NG1ELCA000003568

**Application Details**

Advising Bank Reference NG1ELAC141398043	Beneficiary ID 000128	Beneficiary Name EMR & CO	Branch NG1-NG1 BRANCH
Amendment No 1	Process Reference Number NG1ELCA000003568	Priority Medium	Submission Mode Desk
Amendment Date May 19, 2014	Issuing Bank 000145 HSBC BANK	Non Bank Issuer	Cancel LC
20 - Documentary Credit Number	Beneficiary Consent		

**LC Amendmen Details**

LC Type Sight	Product Code ELAC	Product Description Export LC Sight Non Revolving	Advising Bank
40A - Form Of Documentary Credit REVOCABLE	Contract Reference Number NG1ELAC141398043	31C - Date Of Issue May 19, 2014	40E - Applicable Rules UCPURR LATEST VERSION
31D - Date Of Expiry Aug 17, 2014	31D - Place Of Expiry LON	51A - Applicant Bank	50 - Applicant 000132 NESTLE
Beneficiary Name 000128 EMR & CO	32B - Currency Code, Amount GBP £200,000.00	39A - Percentage Credit Amount Tolerance 0 / 0	22A - Purpose of Message
39C- Additional Amounts Covered			

Hold Cancel Save & Close Back Next

Following are the fields which can be amended apart from the fields carried over from [LC Amendment Details](#) of [Registration](#). Provide the details for the amendable fields based on the description in the following table:

Field	Description	Sample Values
Applicable Rules	This field displays the rules of the selected Export LC and user can amend if required.	
Date of Expiry	This field displays the expiry date of the selected Export LC and user can amend if required.	
Place of Expiry	This field displays the place of expiry of the selected Export LC and user can amend if required.	
Applicant Bank	Read only field. This field displays the applicant bank details of the selected Export LC.	
Applicant	Read only field. This field displays the details of the applicant of the selected Export LC.	

Field	Description	Sample Values
Currency/Amount	Read only field. This field displays the value of LC along with the currency details of the selected Export LC.	
Percentage Credit Amount Tolerance	Read only field. This field displays the percentage credit amount tolerance details of the selected Export LC.	
Purpose of message	Advice and Confirmation of the Credit Amendment - Select this option, if LC requires confirmation on the amendment.  Advice of the Credit Amendment - Select this option, if LC does not require confirmation on the amendment.	
Beneficiary Consent	<b>Toggle on:</b> Beneficiary consent required for the amendment made to the fields. Toggle must be on if amendments are made to the following fields: <ul style="list-style-type: none"> <li>• Beneficiary</li> <li>• Documentary Credit Amount</li> <li>• Expiry Date</li> <li>• Tolerance</li> <li>• Available By</li> <li>• Tenor</li> <li>• Mixed/Deferred Payment Detail</li> <li>• Latest Shipment Date</li> </ul> <b>Toggle off:</b> Switch off the toggle if beneficiary consent is not required for the amendments.	
Additional Amounts Covered	This field displays the details of additional amount covered of the selected LC and user can amend if required.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Amendment.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	

Field	Description	Sample Values
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical step in Scrutiny stage.	

## Availability & Shipment

User must verify/ Input/Update Availability, Shipment and Goods details of an Export LC request for the different fields under the respective data segments.

## Application

Refer to [Application](#).

## Availability Details

**FuTura Bank** Free Tasks NG1 BRANCH (NG1) May 19, 2014 SRIDHAR subham@gmail.com

Export LC Amendment - Scrutiny Documents Remarks View LC Screen (2 / 6)

**Availability & Shipment**

Application :- NG1ELCA000003568

**Availability Details**

41a-Available with \*  41a-Available By \*  42C-Drafts At  42a-Drawee

ISB  BY PAYMENT

Tenor

**Shipment Details**

43P-Partial Shipments  43T-Transshipment  44A-Place of Taking in Charge  44E-Port of Loading

NOT ALLOWED  NOT ALLOWED  LONDON

44F-Port of Discharge  44B-Place of Final Destination  44C-Latest Date of Shipment  44D-Shipment Period



CHENNAI  May 20, 2014

**45A Description of Goods and or Services**

INCO Terms

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Available With	<p>This field identifies the bank with which the credit is available.</p> <p>User must capture the bank details or any free text.</p> <ul style="list-style-type: none"> <li>If the LC is restricted to any particular bank,, search the bank with SWIFT code (BIC) or Bank Name.</li> </ul> <div> <div>Available With</div> <div> <div>BIC</div> <div>Bank Name</div> </div> <div> <div>Fetch</div> </div> <div> <div>BIC</div> <div>Bank Name</div> </div> <div>No data to display.</div> <div>Page 1 (0 of 0 items) &lt; 1 &gt;</div> </div> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address gets defaulted.</p> <ul style="list-style-type: none"> <li>If the LC is not restricted to any bank, provide free text - (YOURSELVES, WITH ANY BANK etc.).</li> </ul>	

Field	Description	Sample Values
Available By	<p>This field displays the value of 'Available By' as per the issued LC. Choose one of the following values from drop down, if required.</p> <ul style="list-style-type: none"> <li>• BY ACCEPTANCE</li> <li>• BY DEF PAYMENT</li> <li>• BY MIXED PAYMENT</li> <li>• BY NEGOTIATION</li> <li>• BY PAYMENT</li> </ul> <p>Validation:</p> <p>1) If <b>By Mixed Payment</b> option is selected, there must be a value in tag 42M- Mixed payment</p> <p>2) If <b>By deferred payment</b> is selected, there must be a value in tag 42P- Deferred payment</p> <p>3) If <b>By payment</b> is selected, payment at sight is applicable. It must be applicable for Sight Type of product only.</p>	
Drafts At	This field displays the details of tenor of drafts to be drawn under the documentary credit as per the issued LC.	
Drawee	<p>This field displays the Drawee value as per the issued LC.</p> <p>This field will have value only if 'Drafts at' field has values.</p> <p>Select the Drawee bank (Advising bank or Confirming bank).</p> <ul style="list-style-type: none"> <li>• Search the bank with SWIFT code (BIC) or Bank Name.</li> </ul>  <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <div>  <p><b>Note</b></p> <p>This field is mandatory if value is provided at <b>Drafts At</b> field.</p> </div>	
Tenor	This field displays the value of Tenor as per the issued LC and can be modified if required.	

## Shipment Details

**Futura Bank** Free Tasks NG1 BRANCH (NG1) May 19, 2014 SRIDHAR subham@gmail.com

Export LC Amendment - Scrutiny Documents Remarks View LC Screen ( 2 / 6 )

**Main Details**  
**Availability & Shipment**  
 Payment Details  
 Amendment Details  
 Additional Details  
 Summary

Application :- NG1ELCA000003568

**Availability Details**

41a-Available with <sup>\*</sup>    
 41a-Available By <sup>\*</sup>   
 42C-Drafts At   
 42a-Drawee

**Shipment Details**




43P-Partial Shipments   
 43T-Transshipment   
 44A-Place of Taking in Charge   
 44E-Port of Loading   
 44F-Port of Discharge   
 44B-Place of Final Destination   
 44C-Latest Date of Shipment   
 44D-Shipment Period




**45A Description of Goods and or Services**  
 INCO Terms

Hold Cancel Save & Close Back Next

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Partial Shipments	<p>This field displays the value of Partial Shipments as per the LC and can be modified if required.</p> <p>This field specifies whether or not partial shipments are allowed under the documentary credit.</p> <p>Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> <li>ALLOWED</li> <li>CONDITIONAL</li> <li>NOT ALLOWED</li> </ul>	
Transshipment	<p>This field displays the value of Transshipments as per the LC and can be modified if required.</p> <p>This field specifies whether or not transshipment is allowed under the documentary credit.</p> <p>Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> <li>ALLOWED</li> <li>CONDITIONAL</li> <li>NOT ALLOWED</li> </ul>	

Field	Description	Sample Values
Place Of Taking In Charge	<p>This field displays the value of place of taking in charge as per the LC and can be modified if required.</p> <p>This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.</p>  <p><b>Note</b></p> <p>This field is alternate to <b>Port Of Loading</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Port Of Loading	<p>This field displays the value of port of loading as per the LC and can be modified if required.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p>  <p><b>Note</b></p> <p>This field is alternate to <b>Place Of Taking In Charge</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Port Of Discharge	<p>This field displays the value of port of discharge as per the LC and can be modified if required.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p>  <p><b>Note</b></p> <p>This field is alternate to <b>Place Of Final Destination</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	

Field	Description	Sample Values
Place Of Final Destination	<p>This field displays the value of Place of Final Destination as per the LC and can be modified if required.</p> <p>This field specifies the final destination or place of delivery to be indicated on the transport document.</p>  <p><b>Note</b> This field is alternate to <b>Port Of Discharge</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Latest Date Of Shipment	<p>This field displays the value of Latest Date of Shipment as per the LC and can be modified if required.</p>  <p><b>Note</b> This field is alternate to <b>Shipment Period</b>. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>	
Shipment Period	<p>This field displays the value of shipment period as per the LC and can be modified if required.</p>  <p><b>Note</b> This field is alternate to <b>Latest Date Of Shipment</b>. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>	



## Description Of Goods And Or Services

**FuTura Bank** Free Tasks NG1 BRANCH (NG1) May 19, 2014 SRIDHAR subham@gmail.com

Export LC Amendment - Scrutiny Documents Remarks View LC Screen ( 2 / 6 )

**Main Details**  
**Availability & Shipment**  
 Payment Details  
 Amendment Details  
 Additional Details  
 Summary

**Availability & Shipment**

Tenor

**Shipment Details**

43P-Partial Shipments: NOT ALLOWED  
 43T-Transshipment: NOT ALLOWED  
 44A-Place of Taking in Charge:   
 44E-Port of Loading: LONDON  
 44F-Port of Discharge: CHENNAI  
 44B-Place of Final Destination:   
 44C-Latest Date of Shipment: May 20, 2014  
 44D-Shipment Period:

**45A Description of Goods and or Services**

INCO Terms  
 CIP - Carriage and Insurance Paid

Goods Code	Goods Type	Goods Description	Actions
<input type="checkbox"/> COTTON	Allowed Freely		<input type="button" value="+"/> <input type="button" value="-"/>

This field contains a description of the goods and/or services of the issued LC and can be amended if required. Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
INCO Terms	Select the appropriate INCO terms.	
+ Icon	Click + icon to add goods details.	
- Icon	Click - icon to remove goods details.	
Goods Code	Click look up icon to select the goods code. Once you select goods code, value will populate in Goods Type and Goods Description.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	On successful submission, task moves to next logical stage of Export LC Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Export LC amendment scrutiny stage inputs.	

Field	Description	Sample Values
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	Click Next to move to next logical step in Scrutiny stage.	

## Payment Details

### Application

Refer to [Application](#).

## Payment Details

Free Tasks

NG1 BRANCH (NG1)
May 19, 2014
SRIDHAR  
subham@gmail.com

Export LC Amendment - Scrutiny
Documents
Remarks
View LC
Screen ( 3 / 6)

- Main Details
- Availability & Shipment
- Payment Details**
- Amendment Details
- Additional Details
- Summary

Payment Details
Application :- NG1ELCA000003568

49G - Spl Paymt Condn - Beneficiary
49H - Spl Paymt Condn - Rec Bank
48 - Period for Presentation
49 - Confirmation Instructions \*

58A - Requested Confirmation Party
53A - Reimbursing Bank
57A-Advise Through Bank
78 - Instructions to P/A/N Bank

72-Sender to Receiver Information

Hold Cancel Save & Close Back Next

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Spl Paymt Condn - Beneficiary	<p>This field displays the value of Spl Paymt Condn - Beneficiary as per the LC and can be modified if required.</p> <p>If any special payment condition has to be provided to beneficiary, the details for the same must be captured in this field.</p>	
Spl Paymt Condn - Rec Bank	<p>This field displays the value of Spl Paymt Condn - Rec Bank as per the LC and can be modified if required.</p> <p>If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/ conditions for receiving bank only.</p>	
Period for Presentation	<p>This field displays the value of Period for Presentation as per the LC and can be modified if required.</p> <p>If the period of presentation is based on any event other than shipment, then you can capture the event name in text along with the number of days in number.</p>	

Field	Description	Sample Values
Confirmation Instructions	<p>This field displays the value of Confirmation Instructions as per the LC and can be modified if required.</p> <p>Select the confirmation instruction for the LC from the available LOV values – CONFIRM, MAY ADD, WITHOUT.</p> <p>Applicable only if field 49 - confirmation instruction is 'confirm' or 'may add'. You can search through LOV, Party type with banks should only be displayed in LOV. The system must display the following:</p> <ul style="list-style-type: none"> <li>a) SWIFT code (if available),</li> <li>b) Name and address of the bank</li> </ul> <p>On selection of the record, if SWIFT code is available then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Requested Confirmation Party	<p>This field displays the value of Requested Confirmation Party as per the issued LC and can be modified if required.</p>	
Reimbursing Bank	<p>This field displays the value of Reimbursing Bank as per the LC and can be modified if required.</p> <p>Search through LOV. Party type with banks will be displayed in LOV.</p> <ul style="list-style-type: none"> <li>• SWIFT code (if available),</li> <li>• Name and address of the bank</li> </ul> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Advise Through Bank	<p>Online Channel – User can update the details received.</p> <p>Non-Online Channel -</p> <p>Search through LOV. Party type with banks must be displayed in LOV.</p> <ul style="list-style-type: none"> <li>• SWIFT code (if available)</li> <li>• Name and address of the bank</li> </ul> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Instructions to P/A/N Bank	<p>This field displays the value of Instructions to P/A/N Bank as per the LC and can be modified if required.</p>	

Field	Description	Sample Values
Sender to Receiver Information	This field displays the value of Sender to Receiver Information (FFT Details) as per the LC and can be modified if required.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC amendment.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a reject code and give a reject description.  This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical step in Scrutiny stage.	

# Amendment Details

This section lists the amendments made to the LC. The amendment details table displays the original value and the amended values of the amended fields in two different columns to enable scrutiny user to identify the modifications made to the LC.

FuTura Bank

Free Tasks

NG1 BRANCH (NG1)

May 19, 2014

SRIDHAR  
subham@gmail.com

Export LC Amendment - Scrutiny

DocumentsRemarksView LC

Main Details

Availability & Shipment

Payment Details

Amendment Details

Additional Details

Summary

Amendment Details

Screen ( 4 / 6)

Application :- NG1ELCA000003568

LC Amendment Details

Field Name	Value as per LC	Amended Value
INCO Terms	CIP	CIF
INCO Terms	Carriage and Insurance Paid (To) (named place of destination)	Cost, Insurance, Freight
		NOT ALLOWED

Party Details

Party Type	Party ID	Customer Name	Customer Ref No	Address1	Address2	Country	Status	
Beneficiary	000128	EMR & CO		EMIGGB2SXXX		GB	Initial	
Applicant	000132	NESTLE		NESGG82SXXX		GB	Initial	
Issuing Bank	000145	HSBC BANK		HSBCUS11XXX		GB	Initial	

HoldCancelSave & CloseBackNext

## Additional Details

Free Tasks

NG1 BRANCH (NG1)
May 19, 2014
SRIDHAR  
subham@gmail.com

Export LC Amendment - Scrutiny
Documents
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Screen ( 5 / 6)

- Main Details
- Availability & Shipment
- Payment Details
- Amendment Details
- Additional Details**
- Summary

Application :- NG1ELCA000003568

Limit & Collateral

Limit Currency : **GBP**

Limit Contribution : **100000**

Limit Status : **Not Verified**

Collateral Currency : **GBP**

Collateral Contribution : **20000**

Collateral Status : **Available**

Charge Details

Charge : **GBP 100**

Commission :

Tax :

Block Status : **Not Initiated**

Hold
Cancel
Save & Close
Back
Next

## Limits & Collateral

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both and the LC is confirmed/ to be confirmed with the amended values. Provide the Limit Details based on the description in the following table:

Limit & Collateral

Limit Details

	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/>	001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

Collateral Details

	Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
<input type="checkbox"/>	Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Available	The amount block can be perfo

Save & Close
Cancel

Limit Details

Customer ID

001345

Line ID \*

001345

Contribution % \*

100

Limits Description

Contribution Currency

GBP

Contribution Amount \*

£20,000.00

Limit Currency

GBP

Limit Available Amount

Limit Check Response

Available




Response Message

The Earmark can be performed as the f

Verify

Save & Close

Cancel

Field	Description	Sample Values
Edit Icon 	Click edit icon to edit any existing Limit Details.	
Plus Icon 	Click plus icon to add new Limit Details.	
Minus Icon 	Click minus icon to remove any existing Limit Details.	
Limit Details	Customer ID: Issuing Bank/ Confirming bank.	
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	



Field	Description	Sample Values
Contribution	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified.  Once contribution % is provided, system will default the amount.  System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	

Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:

Limit & Collateral

Limit Details

	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/>	001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

Collateral Details

	Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
<input type="checkbox"/>	Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Available	The amount block can be perfi

Save & Close
Cancel

Collateral Details

Collateral Type \*
Cash Collateral

Collateral % \*
20

Currency
GBP

Contribution Amount \*
£4,000.00

Settlement Account \*
2030013460000000017

Settlement Account Branch
203

Settlement Account Currency
GBP




Account Available Amount
£998,926,760.53

Response
Available

Response Message
The amount block can be performed as

Verify

Save & Close
Cancel

Field	Description	Sample Values
Edit Icon 	Click edit icon to edit any existing Collateral Details.	
Plus Icon 	Click plus icon to add new Collateral Details.	
Minus Icon 	Click minus icon to remove any existing Collateral Details.	
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. User can select either Cash Collateral or Deposits.	
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	
Currency	The LC currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	Select the settlement account for then collateral.	

Field	Description	Sample Values
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	Select the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

## Charge Details

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details

Recalculate
Redefault

### Charge Details

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURISS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017
LCSWIFTIS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017
OTHBNKCHG	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017

### Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
-----------	------	----------	--------	----------	-------	-------

Save & Close
Cancel

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	

Field	Description	Sample Values
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected.  Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be beneficiary by default. You can change the value to applicant	
Settlement Account	Details of the settlement account.	

Provide the Commission Details based on the description provided in the following table:

Charge Details

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILSN_COMM	1.5	GBP	\$1,900.00		<input type="checkbox"/>	<input type="checkbox"/>

Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Save & Close

Cancel

Field	Description	Sample Values
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	

Field	Description	Sample Values
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission.  Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.  If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are defaulted from the back-end system.

Charge Details

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILS_N_COMM	1.5	GBP	\$1,900.00		<input type="checkbox"/>	<input type="checkbox"/>

Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	

Field	Description	Sample Values
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Amendment.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later.  This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical step in Scrutiny stage.	

## Summary

User can review the summary of details updated in scrutiny Export LC Amendment request.

Log in to Oracle Banking Trade Finance Process Management (OBTfPM) system to see the summary tiles. The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

Export LC Amendment - Scrutiny :: Application No: GS1ELCAM0024175

Screen ( 6 / 6 )

**Main Details**

Form Of LC : **REVOCABLE**  
 Submission Mode : **Desk**  
 Date Of Issue : **2019-02-01**  
 Date Of Expiry : **2019-05-02**  
 Place Of Expiry : **NEGOTIATING**

**Availability Shipment**

Available With : **ANY BANK**  
 Available By : **P**  
 Port of Loading : **NEW YORK**  
 Port of Discharge : **LONDON**

**Payment Details**

Period Of Present. : **21**  
 Confirmation Instr. : **W**

**Amendment Details**

Amount : **20000**  
 Currency : **GBP**  
 Tolerance : **10/10**  
 Place Of Expiry : **NEGOTIATING**  
 Date Of Expiry : **2019-05-02**

**Additional Details**

Revolving :  
 Revolving In :  
 Revolving Frequency :

**Additional Details**

Limit Currency :  
 Limit Contribution :  
 Limit Status : **Not Verified**  
 Collateral Currency : **GBP**  
 Collateral Contr. :  
 Collateral Status : **Not Verified**

**Additional Details**

Charge :  
 Commission :  
 Tax :  
 Block Status : **Not Initiated**

**Party Details**

Beneficiary : **EMR & CO**  
 IssuingBank : **DEUTSCHE BANK**  
 Applicant : **NESTLE**

Reject Hold Cancel Save & Close Back Next Submit

### Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view party details like beneficiary, advising bank etc.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of LC.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Amendment.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Back	Task moves to the previous screen.	
Save & Close	Save the information provided and holds the task in you queue for working later.  This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	

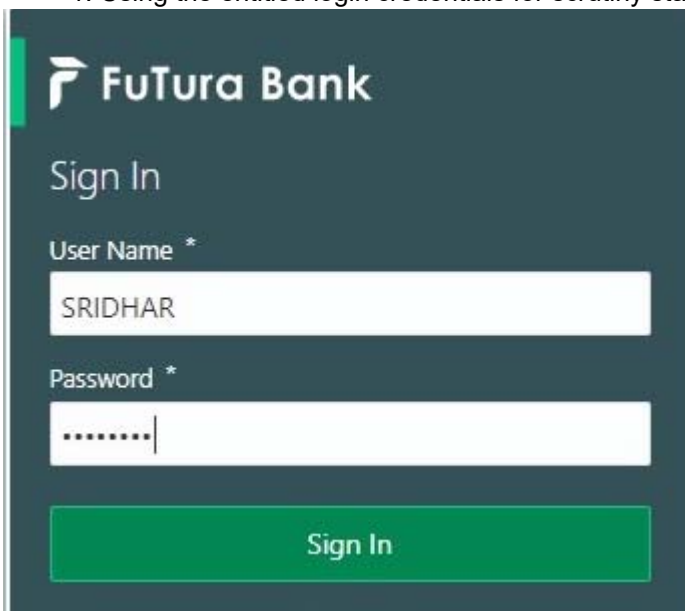
Field	Description	Sample Values
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

## Data Enrichment

As part of data enrichment, user can enter/update basic details of the incoming request.

Do the following steps to acquire a task at Data enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.





2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The screenshot shows the FuTura Bank Dashboard for user SHUBHAM. The dashboard contains several widgets:

- Draft Confirmation Pending:** A table with columns Customer Name, Application Date, and Status. Data rows include EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** A table with columns Branch, Process Name, and Stage Name. Data row: Bank Futura, NA, Retry HandOff.
- Priority Details:** A table with columns Branch, Process Name, and Stage Name. Data rows include Bank Futura, NA, Amount Blo; Bank Futura, NA, Amount Blo; and 004, NA, Loan Applic.
- High Value Transactions:** A bubble chart showing transactions over time (x-axis: -2 to 12, y-axis: -20K to 140K). Data points are labeled with GBP.
- SLA Breach Details:** A table with columns Customer Name, SLA Breached(mins), and Priority. Data rows include NA (23474 H, KEERTIV01), HSBC BANK (26667 M, SHUBHAM), WALL MART (23495, SHUBHAM), and EMR & CO (26780 M, GOPINATH01).
- Priority Summary:** A table with columns Branch, Process Name, and Stage Name. Data row: 203, Cucumber Testing, test descrip.
- Hold Transactions:** A table with columns Branch, Process Name, and Stage Name.
- SLA Status:** A table with columns Branch, Process Name, and Stage Name. Data row: Cucumber Testing.
- Tasks Detailed:** A table with columns Branch, Process Name, and Stage Name.

3. Click Trade Finance> Tasks> Free Tasks.

The screenshot shows the FuTura Bank Free Tasks screen for user SRIDHAR01. The screen displays a table of tasks with the following columns: Action, Priority, Application Number, Branch, Customer Number, Amount, Process Name, and Stage.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage
Acquire & Edit	M	GS1ELCAM0024175	GS1	000262	£20,000.00	Export LC Amendment	Data Enrichment
Acquire & Edit	M	GS1ILCDR0024177	GS1	000262	£16,000.00	Import LC Drawing	Registration
Acquire & Edit	M	GS1ELCAD0024158	GS1	000262	£25,000.00	Export LC Issuance	Retry HandOff
Acquire & Edit	H	203GTEIS0024165	203	000344	£111.00	Guarantee Issuance	Data Enrichment
Acquire & Edit	M	GS1ILCDR0024151	GS1	000262	£1,000.00	Import LC Drawing	Scrutiny
Acquire & Edit	M	GS1ELCAD0024161	GS1	000262	£100.00	Export LC Issuance	Scrutiny

Page 1 of 1 (1-10 of 10 items) | Previous 1 - 10 of 3265 records Next

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Free Tasks

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage
Acquire & Edit	M	GS1ELCAM0024175	GS1	000262	£20,000.00	Export LC Amendment	Scrutiny
Acquire & Edit	M	GS1ELCAD0024158	GS1	000262	£25,000.00	Export LC Issuance	Retry HandOff
Acquire & Edit	H	203GTEIS0024165	203	000344	£111.00	Guarantee Issuance	Data Enrichment
Acquire & Edit	M	GS1ILCDR0024151	GS1	000262	£1,000.00	Import LC Drawing	Scrutiny
Acquire & Edit	M	GS1ELCAD0024161	GS1	000262	£100.00	Export LC Issuance	Scrutiny
Acquire & Edit		GS1ILCDR0024146	GS1	000262	£11,000.00	Import LC Drawing	Registration

Page 1 of 1 (1-10 of 10 items) Previous 1 - 10 of 3264 records Next

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

My Tasks

Refresh Release Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage
Edit	M	GS1ELCAM0024175	GS1	000262	£20,000.00	Export LC Amendment	Data Enrichment
Edit		GS1ILCDR0024000	GS1	000262	£11,000.00	Import LC Drawing	Registration

Page 1 of 1 (1-2 of 2 items) Previous 1 - 2 of 2 records Next

The Data Enrichment stage has six sections as follows:

- Main Details
- Availability & Shipment
- Documents & Conditions
- Payment Details
- Amendment Details
- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

## Main Details

Refer to [Main Details](#).

## Availability & Shipment

Refer to [Availability & Shipment](#).

## Documents & Conditions

User must provide details of the required documents and additional conditions (if applicable) in this section.

Export LC Amendment - Data Enrichment

Documents & Conditions

Application :- NG1ELCA000003568

Documents Required

Select	Code	Name	Copy	Original	Description	Action
<input type="checkbox"/>	AIRDOC	Air Way Documents				
<input type="checkbox"/>	INSDOC	Insurance Documents				
<input type="checkbox"/>	INVDOC	Invoice Documents				
<input type="checkbox"/>	MARDOC	Sea Way Documents				
<input type="checkbox"/>	OTHERDOC	Other Docs				

Additional Conditions

Select	FFT Code	FFT Description	Action
<input type="checkbox"/>	57ACWITHBANK		
<input type="checkbox"/>	32BAMTUTIL		
<input checked="" type="checkbox"/>	FFTLAC	DISCREPANCY FEE FOR USD 75.- (OR EQUIVALENT IN L/C CURRENCY) PLUS ALL RELATIVE SWIFT CHARGES WILL B	

Hold Cancel Save & Close Back Next

## Application

Refer to [Application](#).

## Documents Required

Documents Required						
Select	Code	Name	Copy	Original	Description	Action
<input type="checkbox"/>	AIRDOC	Air Way Documents				
<input type="checkbox"/>	INSDOC	Insurance Documents				
<input type="checkbox"/>	INVDOC	Invoice Documents				
<input type="checkbox"/>	MARDOC	Sea Way Documents				
<input type="checkbox"/>	OTHERDOC	Other Docs				

Documents required section displays the list of documents required as per the issued LC and can be modified if required.

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for document code, originals and copy.



## Additional Details

My Tasks

NG1 BRANCH (NG1)
May 19, 2014
SRIDHAR  
subham@gmail.com

Export LC Amendment - Data Enrichment
Documents
Remarks
View LC
Screen ( 6 / 7)

Main Details
Availability & Shipment
Documents & Conditions
Payment Details
Amendment Details
**Additional Details**
Summary

Additional Details

Application :- NG1ELCA000003568

Limit & Collateral
Limit Currency : **GBP**  
Limit Contribution : **100000**  
Limit Status : **Not Verified**  
Collateral Currency : **GBP**  
Collateral Contribution : **20000**  
Collateral Status : **Available**

Charge Details
Charge : **GBP 100**  
Commission :  
Tax :  
Block Status : **Not Initiated**

Hold
Cancel
Save & Close
Back
Next

### Limits & Collateral

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both. This is applicable for confirmation of the unconfirmed LC or increasing the amount or tolerance if already LC is already confirmed. Provide the Limit Details based on the description in the following table:

Limit & Collateral

Limit Details

Customer ID
Line ID
Contribution %
Contribution Currency
Contribution Amount
Limit Check Response
Response Message

☐
001345
001345
100
GBP
£20,000.00
Available
The Earmark can be performed as the fa

Collateral Details

Collateral Type
Collateral %
Currency
Contribution Amount
Settlement Account
Account Balance Check Response
Response Message

☐
Cash Collateral
10
GBP
£2,000.00
2030013450000000010
Available
The amount block can be perfi

Save & Close
Cancel

Limit Details

Customer ID

001345

Line ID \*

001345

Contribution % \*

100

Limits Description

Contribution Currency

GBP

Contribution Amount \*

£20,000.00

Limit Currency

GBP

Limit Available Amount

Limit Check Response

Available




Response Message

The Earmark can be performed as the f

Verify

Save & Close

Cancel

Field	Description	Sample Values
Edit Icon 	Click edit icon to edit any existing Limit Details.	
Plus Icon 	Click plus icon to add new Limit Details.	
Minus Icon 	Click minus icon to remove any existing Limit Details.	
Limit Details	Customer ID: Issuing bank/ Confirming Bank.	
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	

Field	Description	Sample Values
Contribution	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified.  Once contribution % is provided, system will default the amount.  System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	

Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:

Limit & Collateral

Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/> 001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
<input type="checkbox"/> Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Available	The amount block can be perfi

Save & Close
Cancel

Collateral Details

Collateral Type \*
Cash Collateral

Collateral % \*
20

Currency
GBP

Contribution Amount \*
£4,000.00

Settlement Account \*
2030013460000000017

Settlement Account Branch
203

Settlement Account Currency
GBP




Account Available Amount
£998,926,760.53

Response
Available

Response Message
The amount block can be performed as

Verify

Save & Close
Cancel

Field	Description	Sample Values
Edit Icon 	Click edit icon to edit any existing Collateral Details.	
Plus Icon 	Click plus icon to add new Collateral Details.	
Minus Icon 	Click minus icon to remove any existing Collateral Details.	
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. User can select either Cash Collateral or Deposits.	
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	
Currency	The LC currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	Select the settlement account for then collateral.	



Field	Description	Sample Values
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	Select the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

## Charge Details

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details

Recalculate
Redefault

### Charge Details

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURISS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017
LCSWIFTIS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017
OTHBNKCHG	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017

### Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
-----------	------	----------	--------	----------	-------	-------

Save & Close
Cancel

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	

Field	Description	Sample Values
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected.  Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be beneficiary by default. You can change the value to applicant.	
Settlement Account	Details of the settlement account.	

Provide the Commission Details based on the description provided in the following table:

Charge Details

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILS_N_COMM	1.5	GBP	\$1,900.00		<input type="checkbox"/>	<input type="checkbox"/>

Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Field	Description	Sample Values
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	

Field	Description	Sample Values
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission.  Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.  If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Beneficiary' by Default. You can change the value to Applicant	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are defaulted from the back-end system.

Charge Details

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILS_N_COMM	1.5	GBP	\$1,900.00		<input type="checkbox"/>	<input type="checkbox"/>

Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	

Field	Description	Sample Values
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

## Summary

User can review the summary of details updated in Data Enrichment stage Export LC Amendment request.

FuTura Bank

My Tasks

FBN UK (GS1)

Feb 1, 2019

SRIDHAR01  
subham@gmail.com

Export LC Amendment - Data Enrichment :: Application No: GS1ELCAM0024175

Documents

Remarks

View LC

Screen ( 7 / 7 )

Main Details

Availability Shipment

Documents & Conditions

Payment Details

Amendment Details

Additional Details

Summary

Summary

Main Details

Form Of LC : REVOCABLE

Submission Mode : Desk

Date Of Issue : 2019-02-01

Date Of Expiry : 2019-05-02

Place Of Expiry : NEGOTIATING

BA...

Availability Shipment

Available With : ANY BANK

Available By : P

Port Of Loading : NEW YORK

Port Of Discharge : LONDON

Documents & Conditions

Document 1 : INSDOC

Document 2 : INVDOC

Document 6 : MARDOC

Payment Details

Period Of Present : 21

Confirmation Instr. : W

Amendment Details

Amount : 20000

Currency : GBP

Tolerance : 10/10

Place Of Expiry : NEGOTIATING

BA...

Date Of Expiry : 2019-05-02

Additional Details

Revolving :

Revolving In :

Revolving Frequency :

Additional Details

Limit Currency :

Limit Contribution :

Limit Status : Not Verified

Collateral Currency : GBP

Collateral Contr. :

Collateral Status : Not Verified

Additional Details

Charge :

Commission :

Tax :

Block Status : Not Initiated

Additional Details

Language : ENG

Preview Message : -

Compliance

KYC : Verified

Sanctions : Not Initiated

AML : Not Initiated

Party Details

Beneficiary : EMR & CO

Confirming Bank : DEUTSCHE BANK

Applicant : NESTLE

Reject

Hold

Cancel

Save & Close

Back

Next

Submit

### Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

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ORACLE

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Amendment.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later.  This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	

## Exceptions

The Export LC Amendment request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

### Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

**Application**

Refer to [Application](#).

**Amount Bock Exception**

This section will display the amount block exception details.

Import LC Amendment - Amount Block Exception

RemarksDocumentsChecklist

Amount Block Exception

Summary

Amount Block Exception

Screen ( 1 / 2 )

▶ Application :- 2031LCISS000000888

Amount Block Exception Details

Type	Contract	Currency	Block Amount	Branch	Account	Account Currency	Block Ref No	Block Status	Block Status Details
No data to display.									

RejectHoldReferCancelApproveBackNext

FuTura Bank

My Tasks

Bank Futura

(203) 04/13/18

SRIDHAR

Import LC Amendment - Amount Block Exception

Remarks

Documents

Checklist

Amount Block Exception

Summary

Summary

Application :- 2031LCISS000000888

Main Details

Form Of LC : **IRREVOCABLE**

Submission Mode : **Desk**

Date Of Issue : **2018-07-17**

Date Of Expiry : **2018-08-17**

Place Of Expiry : **NEGOTIATING BANK**

Charge Details

Charge :

Commission :

Tax :

Block Status : **Not Initiated**

Party Details

Applicant : **XXX**

Beneficiary : **XXX**

Advising Bank : **XXX**

Confirming Bank :

Limits & Collaterals

Limit Currency : **GBP**

Limit Contribution : **15000**

Limit Status : **Earmarked**

Collateral Currency : **GBP**

Collateral Contribution : **3000**

Collateral Status : **Available**

Reject

Hold

Refer

Cancel

Approve

Back

Next

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the Export LC Amendment Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

## Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

### Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

## Application

Refer to [Application](#).



## Summary

**Summary**

Application :- 203ILCISS000000011

Main Details	Party Details	Limits & Collaterals	Compliance
Form Of LC : <b>IRREVOCABLE</b> Submission Mode : <b>Desk</b> Date Of Issue : <b>2018-04-13</b> Date Of Expiry : <b>2018-07-31</b> Place Of Expiry : <b>ny</b>	Applicant : <b>NESTLE</b> Beneficiary : <b>EMR &amp; CO</b> Advising Bank : <b>BANK OF AMERICA</b> Confirming Bank :	Limit Currency : Limit Contribution : Limit Status : Collateral Currency : Collateral Contribution : Collateral Status : <b>Not Verified</b>	KYC : <b>Not Verified</b> Sanctions : <b>Not Initiated</b> AML : <b>Not Initiated</b>

Reject Hold Refer Cancel Approve Back Next Submit

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the Export LC Amendment KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

### Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

Limit check Exception approver can do the following actions:

#### Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

#### Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

#### Reject

The transaction due to non-availability of limits capturing reject reason.

### Application

Refer to [Application](#).

## Limit/Credit Check

This section will display the amount block exception details.

≡

FuTura Bank

My Tasks

Bank Futura -Br... (203)04/13/18SRIDHAR

Import LC Amendment - Credit Exception - Review

Credit Exception

Summary

Credit Exception

Screen ( 1 / 2)

▶ Application :- 203ILCAM0017597

▲ Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	
<input type="checkbox"/>	001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed a

▲ Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	
<input type="checkbox"/>	Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Success	The amount block can

RejectHoldReferCancelApproveBackNext

## Summary

≡

FuTura Bank

My Tasks

Bank Futura -Br... (203)04/13/18SRIDHAR

Import LC Amendment - Credit Exception - Review

Credit Exception

Summary

Summary

Screen ( 2 / 2)

▶ Application :- 203ILCAM0017597

Main Details

Form Of LC : **REVOCABLE**  
Submission Mode : **Desk**  
Date Of Issue : **4/13/2018**  
Date Of Expiry : **7/19/2018**  
Place Of Expiry : **London**

Party Details

Applicant : **XXX**  
Beneficiary : **XXX**  
Advising Bank : **XXX**  
Confirming Bank : **XXX**

Availability & Shipment

Available With : **YOUR SELVES**  
Available By : **By Payment**  
Port Of Loading :  
Port Of Discharge : **Chennai**

Payments

Period Of Present. :  
Confirmation Instr. : **CONFIRM**  
Advise Through Bank :

Amendment Details

Amount : **20000**  
Currency : **GBP**  
Date Of Expiry : **7/19/2018**  
Place Of Expiry : **London**  
Tolerance :

Documents & Condition

Document 1 : **BOL**  
Document 2 : **MARDOC**  
Document 3 : **INSDOC**

Limits & Collaterals

Limit Currency : **GBP**  
Limit Contribution : **20000**  
Limit Status : **Available**  
Collateral Currency : **GBP**  
Collateral Contribution : **2000**  
Collateral Status : **Success**

Charge Details

Charge : **GBP 50**  
Commission :  
Tax :  
Block Status : **Success**

Revolving Details

Revolving : **No**  
Revolving In :  
Revolving Frequency :

Preview Messages

Confirm. Required : **Yes**  
Confirm. Response :  
Response Date :

Compliance

KYC : **Verified**  
Sanctions : **Verified**  
AML : **Verified**

RejectHoldReferCancelApproveBackNext

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	<p>Cancel the Export LC Amendment Limit exception check.</p>	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

## Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

### Application

Refer to [Application](#).

### Summary

**Futura Bank** Free Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR02 subham@gmail.com

Export LC Amendment - Approval1 :: Application No: GS1ELCAM0024186 Documents Remarks View LC

**Summary** Screen ( 1 / 1 )

Main Details		Availability		Payment	
Form Of LC	: REVOCABLE	Available With	: any bank	Period Of Present.	: 21
Submission Mode	: Desk	Available By	: P	Confirmation Instr.	: W
Date Of Issue	: 2019-02-01	Port of Loading	: LONDON		
Date Of Expiry	: 2019-05-31	Port of Discharge	: NEW YORK		
Place Of Expiry	: Negotiating ba...				

Documents & Conditions		Revolving Details		Limits Details	
Document 1	: INSDOC	Revolving	:	Limit Currency	:
Document 2	: INVDOC	Revolving In	:	Limit Contribution	:
Document 6	: MARDOC	Revolving Frequency	:	Limit Status	: Not Verified
				Collateral Currency	: GBP
				Collateral Contr.	:
				Collateral Status	: Not Verified

Party Details		Charge		Compliance	
Applicant	: Marks and Spen...	Charge	:	KYC	: Verified
Beneficiary	: EMR & CO	Commission	:	Sanctions	: Verified
Confirming Bank	: DEUTSCHE BANK	Tax	:	AML	: Verified
		Block Status	: Not Initiated		

Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amended Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.

- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
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Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

## Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export LC Amendment in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

### Application Details

The application details data segment have values for requests received from both non-online and online channels.

### Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amended Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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### References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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